

**ALL COMMON COUNCIL ACTIONS TAKEN AT THIS MEETING TO APPROVE EXPENDITURES AND CONTRACTS OR TO ACCEPT BIDS AND OTHER PROPOSALS REQUIRING THE EXPENDITURE OF CITY FUNDS ARE SUBJECT TO THE AVAILABILITY OF FUNDS**

I. ROLL CALL

II. ACCEPTANCE OF MINUTES

Regular Meeting: April 10, 2018

III. PUBLIC PARTICIPATION

IV. MAYOR

A. RESIGNATIONS AND APPOINTMENTS

RESIGNATIONS:

APPOINTMENTS: Thomas M. Keegan, Conservation Commission (Alternate)  
Peter Halladay, Fair Rent Commission (Alternate)  
Frank Mancini, Zoning Commission (Alternate)

REAPPOINTMENTS: C. Eric Rains, Parking Authority

MAYOR'S REMARKS:

V. COUNCIL PRESIDENT

A. GENERAL COUNCIL BUSINESS:

1. Authorize the Corporation Counsel to retain the law firms of Ventura Law and Branstetter, Stranch and Jennings, LLC to provide legal counsel to the City to pursue legal claims which the City may have arising out of the opioid epidemic, including but not limited to legal claims against the opioid manufacturer(s), distributor(s) or other third parties responsible for the opioid related municipal damages.

B. CONSENT CALENDAR:

VI. REPORTS: DEPARTMENTS, BOARDS AND COMMISSIONS

A. CORPORATION COUNSEL

VII. COMMON COUNCIL COMMITTEES

A. FINANCE COMMITTEE

1. Accept and Approve the Report of the Claims Committee Dated: April 12, 2018

2. For informational purposes only: Narrative on Tax Collections dated April 10, 2018.
3. For informational purposes only: Monthly Tax Collector's Report Dated: March 31, 2018
4. Authorize the Mayor, Harry W Rilling, to submit an application to the State of Connecticut for grant funds provided under the State of Connecticut's Local Capital Improvement Fund for 2018 Local Capital Improvement Program (\$1,184,801- 2018 Entitlement).
5. Discuss and approve FY 2018-19 Parking Authority Budget.
6. Discuss and Approve FY 2018-19 Water Pollution Authority Budget.
7. RESOLVED, that a sum not to exceed \$276,422 be and the same is hereby transferred from Contingency to the Police Department to cover the purchase of 179 Electronic Control Weapons (ECW's) and 179 Body Cameras. (Account #01-3022-5329).

B. RECREATION, PARKS AND CULTURAL AFFAIRS COMMITTEE

1. Authorize the Mayor, Harry W. Rilling to enter into an agreement with National Marine Manufacturers Association to use Taylor Farm, Calf Pasture Beach, Shady Beach & Marine School for Parking during the Boat Show to be held Thursday, September 20, 2018 through Sunday, September 23, 2018.
- 2a. Authorize the Mayor, Harry W. Rilling to enter into an agreement with the Exchange Club of Norwalk for their use of Taylor Farm for the Gateway Antique Auto Show to be held Sunday, April 29, 2018 from 8:00AM-5:00PM. Set up to begin Sunday, April 29, 2018 at 6:00AM with tear down no later than 7:00 PM on Sunday, April 29, 2018.
- 2b. Approve the use of the Show Mobile by the Exchange Club of Norwalk for use at Taylor Farm on Sunday, April 29, 2018 from 8:00AM- 5:00 PM.
3. Authorize the Mayor to enter into an agreement with the MBI -March Band NHS for their use of Andrews Field Parking Lot for a Treasures & Trinkets Tag Sale to be held on Sunday, May 20, 2018 from 9:00AM - 3:00 PM. Set up to begin at 7:30AM. Rain Date Saturday, June 2, 2018. Estimated attendance 300.

C. PUBLIC WORKS COMMITTEE

1. Authorize the Mayor, Harry W. Rilling, to execute all required documents related to the execution of the Easement Agreement between the City of Norwalk and the State of Connecticut Department of Transportation for a temporary construction easement of 11,130± square feet for the purpose of storage of construction materials and equipment during the Walk Bridge replacement project as depicted on a map prepared by the State of Connecticut Department of Transportation entitled "Compilation Plan Town of Norwalk Map Showing Easement Acquired From City Of Norwalk By The State Of Connecticut Department Of Transportation Walk Railroad Bridge Replacement," dated February 2018, at a scale of 1" = 20' on file in the Department of Public Works in exchange for a payment of \$100,000.00.

- VIII. RESOLUTIONS FROM COMMON COUNCIL
- IX. MOTIONS POSTPONED TO A SPECIFIC DATE
- X. SUSPENSION OF RULES
- XI. ADJOURNMENT

**APPOINTMENTS**

CONSERVATION COMMISSION  
THOMAS M. KEEGAN (R)  
2 Barjune Road  
Norwalk, CT 06851

M/C

NORWALK CODE 35-2  
Term Expires 01/01/2021  
Alternate

FAIR RENT COMMISSION  
PETER HALLADAY (R)  
52 Glenrock Road  
Norwalk, CT 06850

M/C

NORWALK CODE 39  
Term Expires 07/01/2020  
Alternate

ZONING COMMISSION  
FRANK MANCINI (R)  
22 Friendly Road  
Norwalk, CT 06851

M/C

NORWALK CODE 79  
Term Expires 07/01/2019  
Alternate

**RE-APPOINTMENTS**

PARKING AUTHORITY  
C ERIC RAINS (R)  
33 North Water Street  
Norwalk, CT 06854

M/C

NORWALK CODE 73A  
Term Expires 03/31/2023

ALL COMMON COUNCIL ACTIONS TAKEN AT THIS MEETING TO APPROVE EXPENDITURES AND CONTRACTS OR TO ACCEPT BIDS AND OTHER PROPOSALS REQUIRING THE EXPENDITURE OF CITY FUNDS ARE SUBJECT TO THE AVAILABILITY OF FUNDS

Mayor Rilling called the meeting to order at 7:30p.m. and led the Assembly in reciting the Pledge of Allegiance.

Ms. King, City Clerk read the notice stating that the meeting was being audio recorded and videotaped with subtitles for public broadcast. She asked everyone to speak clearly, one at a time, into the microphone and said that assisted listening devices were available

!,ROLLCALL

Ms. King called the Roll. The following Common Council members were present:

Council at Large:	Mr. Gregory Burnett Mr. Nicholas Sacchinelli	Mr. Michael Corsello Mr. Douglas Stem
District A:	Ms. Eloisa Melendez	Mr. Chris Yerinides
District R: (p.m.)	Ms. Faye Bowman (7:35p.m.)	Mr. Travis Simms (7:46 p.m.)
District C:	Mr. John Kydes	Ms. Beth Sicgelbaum
District D:	Mr. Douglas Ilcnpstead	Mr. George T iranides
District E:	Mr. Thomas Livingston	

At Roll Call there were eleven (11) Council Members present and four (4) Council members present and four (4) absent (Ms. Bowman (7:35p.m.); Mr. Igneri; Mr. Simms (7:46p.m.) and Ms. Smyth)

Also present were Mayor Hany Rilling; Corporation Counsel, Mmio Coppola and City Clerk, Dmma King.



## II. ACCEPTANCIC OF MINUTES

Regular Meeting: March 27,2018

- \*\* MR. LIVINGSTON MOVED TO ACCICPT THE MINUTICS AS PRESENT EII
- \*\* MOTION PASSED WITH ONE (I) ABSTENTION (MR. SACCHINELLI)

## liT. PUBLIC PARTICIPATION

No members of the public wished to speak this evening.

## IV. MAYOR'S REMARKS

Mayor Rilling announced that Norwalk will celebrate its third mmual clean city initiative on April 21st. He said this was an opporhimity to remind people to keep Norwalk Beautiful.

Ms. Bowman joined the meeting at 7:35p.m.

Mayor Rilling announced that April is Child Abuse month and that a gathering will take place in front of the pinwheels on the lawn at City Hall on April 17th to commemorate Child Abuse Prevention Month.

## V. COUNCIL PRESIDENT

- \*\* MR. KYDES MOVED TO SUSPEND THIC RULES TO AUU AN ITEM TO THE AGENDA
- \*\* MOTION PASSED UNANIMOUSLY
- \*\* MR. KYIJES MOVED TO AOIJ A RESOLUTION TO ADD THE VOTE TO RAISE THE BUDGET GAP
- \*\* MOTION PASSED UNANIMOUSLY

A. GENERAL COUNCIL BUSINESS:

B. CONSENT CALENDAR:

- \*\* MS. MELENDEZ MOVEIJ THE FOLLOWING CONSENT CALENDAR:
  - PUBLIC WORKS
  - VII.A.t., VII.A.Za, VII.A.2b, VII.A.3,

LAND USE AND BUILDING MANAGEMENT

VII.B.VII.B.1b, VII.B.2, VII.B.3, VII.B.4, VII.B.S, VII.B.6a, VII.B.6b,  
VII.B.7a, VII.B.7b, VII.B.S, VII.B.9, VII.B.10a, VII.B.10b

VI. REPORTS: DEPARTMENTS, BOARDS AND COMMISSIONS

A. CORPORATION COUNSEL

VII. COMMON COUNCIL COMMITTEES

A. PUBLIC WORKS COMMITTEE

1. RESCIND ITEM NO.

VII.C.1 ON THE COMMON COUNCIL AGENDA OF SEPTEMBER 12,  
2017, WHICH READ AS FOLLOWS:

AUTHORIZE THE MAYOR, HARRY W. RILLING, TO EXECUTE A  
SUPPLEMENTAL AGREEMENT BETWEEN THE CITY OF NORWALK  
AND AECOM (FORMERLY URS CORPORATION), FOR EXTRA  
PROFESSIONAL ENGINEERING DESIGN SERVICES REQUIRED  
DURING CONSTRUCTION, FOR THE REHABILITATION OF THE  
PERRY AVENUE BRIDGE OVER THE NORWALK RIVER PROJECT,  
CONNDOT PROJECT NO. 102-319, FOR AN AMOUNT NOT TO  
EXCEED \$78,500.00. (FUNDING 80% FEDERAL, 20% CITY).

ACCOUNT NO: 0917 40215777 C0392 0918 40215777 C0392 09  
164021 5799 C0392

NEW ACTION AUTHORIZATION REQUEST: INCLUDED THE  
FEDERAL PROJECT NO. TO HELP DIFFERENTIATE THE PROJECTS.

2A. AUTHORIZE THE MAYOR, HARRY W. RILLING, TO EXECUTE A  
THIRD SUPPLEMENTAL AGREEMENT BETWEEN THE CITY OF  
NORWALK AND AECOM (FORMERLY URS CORPORATION), FOR  
EXTRA PROFESSIONAL ENGINEERING DESIGN SERVICES  
REQUIRED DURING CONSTRUCTION, FOR THE  
REHABILITATION OF THE PERRY AVENUE BRIDGE OVER THE  
NORWALK RIVER PROJECT, CONNDOT PROJECT NO. 102-319,  
FEDERAL PROJECT NO. 6102(009), FOR AN AMOUNT NOT TO  
EXCEED \$74,350.00 (FUNDING 80% FEDERAL, 20% CITY).

ACCOUNT NO: 09 1740215777 C0392 09 184021 5777 C0392 09  
64021 5799 C0392



2H. AUTHORIZE THE MAYOR, HARRY W. RILLING, TO EXECUTE A FIRST SUPPLEMENTAL AGREEMENT BETWEEN THE CITY OF NORWALK AND AECOM (FORMICRLY URS CORPORATION), FOR EXTRA PROFESSIONAL ENGINEERING CONSTRUCTION INSPECTION SERVICES REQUIRED DURING CONSTRUCTION, FOR THE REBABILITATION OF THE PERRY AVENUE BRIDGE OVER THE NORWALK RIVER PROJECT, CONNDOT PROJECT NO. 102-319, FEDERAL PROJECT NO. 6102(011), FORAN AMOUNT NOT TO EXCEED \$78,350.00 (FUNDING 80% FEDERAL, 20% CITY). ACCOUNT NO: 09 17 4021 5777 C0392 0918 4021 5777 C0392 09 06 4021 5799 C0392

3. AUTHORIZE THE MAYOR, HARRY W. RILLING, TO EXECUTE ANY ANn ALL DOCUMENTS NECESSARY TO COMPLETE THE CLOSING ON THE GRANTING OF EASEMENTS FROM THE STATE OF CONNECTICUT DEPARTMENT OF TRANSPORTATION TO BE USED BY THE CITY FOR MULTI-PURPOSE TRAILS ALONG ROUTE 7, AS PART OF THE NORWALK RIVER VALLEY TRAIL SYSTEM.

B. LAND USE AND BUILDING MANAGEMENT COMMITTEE

1A. AUTHORIZE THE MAYOR, HARRY W. RILLING, TO EXECUTE A CONTRACT WITH YOUNG DEVELOPERS LLC FOR THE ROOF REPLACEMENT PROJECT AT THE FIRE SUPPORT SERVICES FACILITIES FOR A TOTAL NOT TO EXCEED \$583,709.00. ACCOUNT NO: 0918 3110 5777 C0443

IB. AUTHORIZE TBE FIRE CHIEF TO ISSUE CHANGE ORnER ON CONTRACT FOR A TOTAL NOT TO EXCEED \$58,371.00.

2. AUTHORIZE THE MAYOR, HARRY W. RILLING, TO EXECUTE A SURLEASE AGREEMENT AI'WONG THE CITY OF NORWALK, COMMUNITY DEVELOPMENT INSTITUTE HEADSTART, INC., AND, ODYSSEY LEARNING INC. FOR THE USE OF THE NATHANIEL ELY CENTER FOR THE OPERATION OF A 2018 SUMMER CBI LIJ CARE PROGRAMMING FOR HEAD START CHILDREN FROM JULY 5, 2018 TO AUGUST 3, 2018.

3. AUTHORIZE THE MAYOR, HARRY W. RILLING, TO EXECUTE A SUBLEASE AGREEMENT AMONG THE CITY OF NORWALK, COMMUNITY DEVELOPMENT INSTITUTJi; Hli:ADSTART, INC., AND STEPPING STONES MUSEUM FOR CHILDREN, INC. FOR THE USE OF THE BEN FRANKLIN CENTER FOR THE OPERATION OF A 2018 SUMMRR CHILD CARE PROGRAMMING FOR HEAiL START CHILDREN FROM JULY 5, 2018 TO AUGUST 3, 2018.

4. AUTHORIZE THE MAYOR, HARRY W. RILLING, TO EXECUTE A SECOND AMENDMI<:NT TO THE MANAGEMicNT AGREEMENT BETWEEN THE CITY OF NORWALK AND, ODYSSEY LEARNING INC. FOR THE OPERATION OF THE NATHANIEL ELY CENTER TO EXTEND THE TERM OF THE AGREEMENT FROM JULY 1, 2018 TO JUNE 30, 2020.

5. AUTHORIZE THE MAYOR, HARRY W. RILLING, TO EXECUTE A SECOND AMENDMENT TO THE MANAGEMENT AGREEMENT BETWEEN THE CITY OF NORWALK AND GROWING SEEDS CHILI> DEVELOPMENT CENTER INC., CDC, LLC FOR THE OPERATION OF THE BENJAMIN FRANKLIN CENTF:R TO EXTEND THE TRRM OF THE AGREEMENT FROM JULY 1, 2018 TO JUNE 30,2020.

6A. AUTHORIZE THE MAYOR, HARRY W. RILLING, TO EXECUTE AN AGREEMENT WITH TRISTATE LED INC. FOR THE SUPPLY OF LIGHT FIXTURES FOR THE POLICE HEADQUARTERS ENERGY EFFICIENT LIGHTING RETROFIT PROJECT FOR A TOTAL NOT TO EXCEED \$32,554.86. FUNDS ARE AVAILABLE FROM ACCOUNT NO: 0916/17 7100 5777 C0327

6B. AUTHORIZE THE OFFICE OF BUILDING MANAGEMENT TO ISSUE CHANGE ORDERS ON THE CONTRACT FOR A TOTAL NOT TO EXCEED \$3,255.40.

7A. AUTHORIZE THE PURCHASE AGENT, TO ISSUE A PURCHASE ORDER TO AM F:LECTRIC COMPANY, LLC, FOR THE INSTALLATION OF LIGHT FIXTURES FOR THE POLICE HEADQUARTERS ENERGY EFFICIENT LIGHTING RETROFIT PROJECT FOR A TOTAL NOT TO EXCEED \$20,560.86. FUNDS ARE AVAILABLE FROM ACCOUNT NO: 0917/18 7100 5777 C0327

78. AUTHORIZE THE OFFICE OF BUILDING MANAGEMENT TO ISSUE CHANGE ORDERS ON THE CONTRACT FOR A TOTAL NOT TO EXCEED \$2,056.00.

8. APPROVE NEW ENGLAND ENERGY CONTROLS, INC., AN AUTHORIZED SERVICE REPRESENTATIVE FOR KMC BUILDING ENERGY MANAGEMENT SYSTEM, AS SOLE SOURCE VENDOR TO SERVICE KMC EQUIPMENT. THIS APPROVAL SHALL EXPIRE ON JULY 1, 2021.

9. APPROVE AUTOMATED BUILDING SYSTEMS, INC., AN AUTHORIZED SERVICE REPRESENTATIVE FOR ALERTON CONTROL SYSTEMS AS SOLE SOURCE VENDOR TO SERVICE ALERTON EQUIPMENT. THIS APPROVAL SHALL EXPIRE ON JULY 1, 2021.

IOA. AUTHORIZE THE MAYOR, HARRY W. RILLING, TO EXECUTE A CONTRACT WITH GIACORP CONTRACTING INC. FOR ADA IMPROVEMENTS AT THE NORWALK HISTORICAL SOCIETY MUSEUM IN THE AMOUNT NOT TO EXCEED \$130,685.00. ACCOUNTS NO: 09166310 5777 C0573, 09176310 5777 C0573, 09156310 5777 C0579, AND 091863105777 C0549.

IOB. AUTHORIZE THE HISTORICAL COMMISSION TO EXECUTE CHANGE ORDERS ON THE CONTRACT FOR A TOTAL NOT TO EXCEED \$13,000.

\*\* MOTION PASSED UNANIMOUSLY

## VII. COMMON COUNCIL COMMITTEES

### C. PLANNING COMMITTEE

Mr. Kydes said the conversation on the Capital budget started early. Over \$6 million was allocated for streets and sidewalks. In addition, the bulky waste pickup was expanded with the purchase of a new garbage truck.

The City fully funded the Board of Education's request for Chromebooks for students. Major improvements were made to the parks and funds were allocated for the West Rocks Middle School soccer field. Mr. Kydes thanked everyone for their hard work.

\*\* MR. KYDES MOVED TO APPROVE THE FOLLOWING ITEM:

1. APPROVE THE 2018-2019 CAPITAL BUDGET OF THE CITY OF NORWALK WITH MODIFICATIONS AS OUTLINED ON THE ATTACHED MEMO.

Mr. Hempstead asked for an explanation on the funding of the Columbus Magnet School project. Mr. Barron said he recommended that the funding stay the same as it was presented last year.

Mr. Simms joined the meeting at 7:46p.m.

Mr. Barron said funding is different from appropriated. The funding is representative of the plan embarked on last year. He said that the Board of Education's request is equal to what was presented last year and equal to what he put in the budget this year.

Mr. Hempstead asked if the money was locked to the Board of Education when it is appropriated. Mr. Barron said it is not locked for capital appropriations. He explained that the entire amount is appropriated, but if there are overruns, the Board of Education has to come back to the Common Council to request additional funds. This makes it easier to see if the Board of Education is keeping on track with the investment made in the schools.

Mr. Hempstead thanked Mayor Illing and Mr. Kydes for putting together a good and balanced capital budget.

Ms. Bowman said she will abstain from voting over the appropriation for Columbus Magnet School and Jefferson School. She said she learned the projects will not start for two years, so does not understand why funding is being appropriated. She added that she also learned that Ponus Ridge Middle School is over budget and asked if the project was every re-assessed. Ms. Bowman said she learned that the South Norwalk schools may eliminate air conditioning.

Ms. Bowman talked about acquiring properties for the South Norwalk school and said they may go to court over different issues with one property. She said she needs to understand what things are going to cost for the schools and that she does not understand the logic of putting the cart before the horse.

Ms. Bowman mentioned putting portable classrooms at Columbus Magnet School so they could begin the renovations.

\*\* MOTION PASSED WITH ONE VOTE IN OPPOSITION (MR. SIMMS)  
AND ONE (1) ABSTENTION (MS. BOWMAN)

#### X. SUSPENSION OF RULES

\*\* MR. BURNETT MOVED TO SUSPEND THE RULES TO ADD THE  
FOLLOWING ITEM TO THE AGENDA  
\*\* MOTION PASSED UNANIMOUSLY

WHEREAS, SECTION 1-289 OF THE NORWALK CHARTER REQUIRES THAT A 2/3 RD AFFIRMATIVE VOTE OF THE ENTIRE MEMBERSHIP OF THE COMMON COUNCIL IS NECESSARY TO AMEND THE MAXIMUM LIMIT ON LOCALLY FUNDED EXPENDITURES PREVIOUSLY ESTABLISHED ON FEBRUARY 27,2018.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF NORWALK THAT:

THE AMENDED MAXIMUM LIMIT ON TOTAL APPROPRIATIONS FOR THE CITY OF NORWALK FOR FISCAL YEAR BEGINNING JULY 1, 2018, SHALL BE NO MORE THAN \$337,118,940. THIS APPROPRIATION CAP REPRESENTS TOTAL EXPENDITURES OF \$354,088,655 LESS ESTIMATED INTERGOVERNMENTAL GRANTS OF \$16,969,715. BE IT FURTHER RESOLVED THAT THE RESULT OF THIS VOTE AND RESOLUTION BE FORWARDED BY THE CLERK OF THE CITY OF NORWALK TO THE BOARD OF ESTIMATE AND TAXATION.

Mr. Kydes said he knows the Board of Education was underfunded for decades but is comforted in knowing that they received the largest funding. He noted that he does not agree with the Board of Education cutting staff.

Mr. Kydes said the City is showing its commitment to the board of Education.

Mr. Hempstead asked if the bottom line to the Board of Education will become the baseline for next year's budget request. Mr. Barron said that will be the minimum budget requirement next year. He said the Board of Education's use of their anticipated insurance fund will not increase next year's appropriation by the City. Mr. Barron said they agreed to exhaust that fund except for around \$200,000 for self-funded dental and vision.

Mr. Hempstead asked if the City would have to make up any amount cut by the State for the ECS funding. Mr. Barron said it will not impact the appropriation from the City. Mr. Hempstead asked if the City would be responsible if there is an ECS cut. Mr. Barron said it would only be responsible to the extent it chooses; it would be up to the Common Council.

Mr. Hempstead said he was struggling and worried about the following fiscal year, but he knows the Board of Education has made strides; this is a leap of faith for him but said he will support this.

Mr. Hempstead said that the people in state positions, who can bring funding for ECS for Norwalk kids are accountable.

Mr. Hempstead referenced a sign in the lobby showing per student funding in other districts. He said it was inappropriate, inflammatory and inaccurate and that it was time to take down the lies. Mr. Hempstead said that the Common Council did not cut the budget for the Board of Education and the City did not tell the Board of Education to cut staff. He said the City fully funded present positions.

Ms. Bowman said if the Board of Education wanted to fund the positions, they were more than capable with the funding being provided. The funding is there to keep the individuals if they want.

Referring to the sign, Mr. Corsello said that it shows Norwalk spending less than the surrounding towns, and that is not true. He said Norwalk spends less than the town of Fairfield, but their median income is higher than Norwalk.

Mr. Barron said he feels there is a great story to tell about supporting the Board of Education. He noted he has to demonstrate how the fund balance will be restored. The rating agencies expect higher fund balances in the State of Connecticut.

Mayor Rilling thanked Mr. Belmont for being a responsible protector of the City's funds. He said he is a true professional and that he has total confidence in how he handles his job as the finance Director.

Mayor Rilling thanked the Common Council and the Board of Estimate and Taxation and said it was a challenging budget year. He said everyone is working toward making Norwalk the best it can be and giving children a world class education. He said that after many hours of hard work, he feels they came up with a balance to help keep the taxes as low as possible and funding the Board of Education.

Mayor Rilling said that over the past few years, the City has given the Board of Education \$22 million. He said he is creating a committee, that he will chair, to look at how they can craft a budget to give the Board of Education what they need while keeping taxes down.

\*\* MOTION PASSED BY ROLL CALL VOTE; WITH TWELVE (12) TOWN MEMBERS IN FAVOR (MR. BURNETT; MR. CORSELLO; MR. SACCHITELLI; MR. STERN; MS. MELENDEZ; MR. VERTINES; MR. SIMMS; MR. KYDES; MS. STEGELBAUM; MR. HEMPSTEAD; MR. TSIRANIDES; MR. LIVINGSTON) AND ONE (!) ABSTENTION (MS. BOWMAN)

## VIII. RESOLUTIONS FROM COMMON COUNCIL

There were none this evening.

IX. MOTIONS POSTPONED TO A SPECIFIC DATE

There were none this evening.

\*\* MR. HEMPSTEAD MOVED TO ADJOURN  
\*\* MOTION PASSED UNANIMOUSLY

There was no further business and the meeting was unanimously adjourned at 8:20p.m.

ATTEST '---o:-----cc---ooc-=-c-•  
Dmma King, City Clerk

Conservation

R

Thomas M. Keegan

2 Barjune Road

Norwalk, Ct. 06851

Age64

(resident of Norwalk 1985-present)

#### Education

Greenwich Public/Parochial Schools K-i2

Univ. of Tampa, Tampa, Fl. (1971-1973)

Iana College, New Rochelle, NY (1981-1982)

#### Professional Career:

Greenwich Police Dept. (1977-2004)

Police Dispatcher (1977-1978)

Police Officer (1978-1989)

Police Sergeant (1989-1993)

Police Lieutenant (1993-2004)

- Retired 8/1/2004

Greenwich Police Special Police Division (2004-present)

Executive Officer (ZOOS-present)

Silver Shield Assn. (fundraising arm of Police Union)

Director of Operations (2004-2016)

#### Community Activities

Norwalk Athletic Assn (1995-present)

Secretary (1998-1999)

Vice President (1999)

President (2000-present)



'cranbury Elementary School PTO

President (2011-2015)

Retired Police Assn. of Greenwich (2004-present)

President (2008-present)

Greenwich High School Hall of Fame Committee (2011-present)



## PETER HALLADAY RESUME

52 Glenrock

Norwalk, CT 06850

203-849-9835

Norwalk resident 40 years.

Human Relations Commissioner

US Army Veteran

Classroom Volunteer Cranbury Elementary School

Meals on Wheels Volunteer

Attended Emerson College

Served on Glenrock Condominium Board

30--year Career as On Air Sportscaster and Newscaster



## Frank Mancini

22 Friendly Road, Norwalk, Connecticut 06851  
Mobile (203)434-1324 /E-Mail: frank,mancini03@gmail.com

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### Summary:

Experienced Senior Vice President with a demonstrated history of working in the Asset Based Lending and Factoring industries dealing with middle market and small business opportunities. Developed and implemented a versatile credit monitoring system that focuses on the early detection of watch list accounts. In addition to risk management, encompasses extensive sales and operation experience along with an in-depth knowledge of project management.

### Related Experience:

01/17- Present **Plus Funding Group**, White Plains, New York

#### **Senior Vice President**

Originate, underwrite, and structure asset based, factoring, purchase order, and mobilization facilities across all market sectors from startup operations to mature organizations

Responsible for new business originations, negotiating proposal of terms, structuring facilities, and performing client due diligence on all new business opportunities

Act as a sounding board and employ problem solving skills along with business intelligence gained through lending to various industries throughout career

Engage with both large groups and individuals and apply a highly developed communication and collaboration skill set

Attended various trade shows, industry conferences, and utilized social media to obtain new business connections and borrower prospects

06/11- 12/16 **Happy Rock Merchant Solutions**, New York, New York

#### **Head of Underwriting**

Supervised a team of three (3) underwriters

Evaluated merchant applications with a primary focus on analyzing the borrowers' overall business stability to determine credit worthiness and approval amounts

Verify and review all submissions for any inconsistencies and fraud

Perform Merchant phone interviews to understand the nature of client's business operations

Coordinate with agents at Independent Sales Organizations (ISO) to obtain required documentation

03/10-06/16 **CapFlow Funding Group**, Rutherford, New Jersey

#### **Director, Head of Underwriting and Risk Management**

Created a comprehensive risk management system, CAST (Collateral Analysis and Surveillance Testing) Monitoring System

Member of investment committee

Underwrote, structured, approved, and managed asset based, factoring, purchase order, and mobilization facilities across all market sectors from startup operations to mature organizations

Responsible for client due diligence, underwriting, collateral monitoring and portfolio management.

Managed a team of three which included two underwriters and one surveillance manager

Relationship Manager for performing accounts and Workout Manager for troubled accounts

- 04/05-03/10 Whitecap Advisors, Norwalk, Connecticut  
 Vice President  
 Manage the fund's Asset Based Loan investments with an AUM of \$350MM  
 Supervise distressed credits and liquidations along with restructuring loan agreements  
 Developed the underwriting and risk rating monitor systems, Portfolio Tracker, that is designed to track the collateral and financial trends of each investment  
 Led a team of professionals with ultimate responsibility for their portfolio clients  
 Originate, structure, underwrite, and monitor all new ABL investments (Lead originations and participations)  
 Created the fund's risk rating system and assisted the general partner with ABL valuations  
 Member of the fund's Trade Finance and Accounts Receivable Finance Company investment committees
- 02/07-01/09 Darien Rowayton Bank, (Represented Whitecap Advisors) Darien, Connecticut  
 Board of Director, Member  
 Member of the Bank Loan Committee, reviewed and approved all transactions in excess of \$1MM  
 Member of the Bank's ALCO (Asset Liability Committee), matched time duration deposits (liabilities) to loans (assets) and invested in Federal Guaranteed MBS (Mortgage Backed Securities) investments  
 Audit Committee, assisted audit firm with the annual review of the Bank's financials  
 Voted and expressed opinions on the direction of the bank (Executive, Marketing, and Operations)  
 Reviewed monthly financials and presented ideas to the board for increase profitability
- 10/02 -4/05 UBS Investment Bank, Stamford, Connecticut  
 Fixed Income, Business Unit Controller, (5/2004-4/2005)  
 Report daily trading P&L activity to traders and senior management  
 Investigate cash breaks between the trading system (FOS) to the cash settlement system (ADP), additionally, reconcile activity per trading book to the Bloomberg terminal for any position or mark difference  
 Sign-off on monthly Balance and Income Statements and perform reserve, inventory, and open trade analysis while calculating fees earned into UBS's books and record system (Socrates)  
 Provide a monthly commentary that details a majority of the leading income positions for the Business Manager, internal/external audit, and Senior Management  
 Liaison with the trading desk, front-office support, Operations, and Global Reporting to discuss and resolve ad hoc issues concerning bookings, new business, and strategy
- Exchange Traded Derivatives, Operations Specialist- Control, (10/2002- 5/2004)  
 North American lead and project coordinator for the creation of the ETD Global Work Flow Account Opening Tool for Clearing Only, Execution Only, and House accounts  
 Calculate the Segregation Requirements for Non-Cross Margining Customers per CFTC Regulations, Daily Trade Volume Report, Daily ETD Trade Break Report, and Daily Broker Collateral Report Identify and reconcile daily integrity break(s) within the Roulle & Nolan settlement system  
 Represent ETD during bi-monthly meetings and highlight ETD's risk exposure to the Operational Risk Management Team for both futures and equity options  
 Responsible for the fee structure set-up for all new direct member exchange relationships (KCBT, MGE)

6/99-10/02

**General Electric, Commercial Finance**, Stamford, Connecticut

**In-Charge Investment Analyst** , (7/2000- 10/2002)

Led field examinations for current and prospective borrowers consisting of three or more associates that ranged from manufacturing to service, and from performing to restructuring companies

Gather and evaluate due diligence data on accounts receivable, inventory, cash, and accounts payable

Point person between the client, audit team, underwriting, and senior management

Assisted in the orientation and training program of Investment Analyst new hires

**Portfolio Analyst**, (6/1999-7/2000)

Serve as a liaison with the Finance department for the cash reconciliation process, suspense reconciliation process, and general inquiries regarding the CF portfolio for 30 managed account

Facilitated the funding of new deals and loan payouts for the NE region

Administer advances and collections to customer's existing term and revolving loan balances

**Education:**

1999 Bachelor of Science Degree in Finance

Southern Connecticut State University, New Haven, Connecticut

Recipient of the 1999 S.C.S.U. School of Business Outstanding Student Award

**Volunteering:**

Goldman Sachs 10,000 Small Business Program, LaGuardia Community College

SCORE Business Mentor, Fairfield County

**Training:**

Omega Performance Commercial Loans to Business

UBS Mortgage & Asset Based Securities

UBS Derivatives & Securities

General Electric's Six Sigma DMAIC, Greenbelt

GE Capital's Portfolio Management Review

**Affiliations:**

Commercial Finance Association (CFA)

International Factor Association (IFA)

Association for Corporate Growth (ACG)

Turnaround Management Association (TMA)

**Reference:**

References furnished upon request.



## PRINCIPAL BIO

C. ERIC RAINS ASLA  
CT, NY, NJ

33 No Water St.

C. Eric Rains, ASLA, Principal of Eric Rains Landscape Architecture, LLC, (ERLA) launched his practice after 21 years in the industry. During this time, he practiced in the Fairfield County, tri-state area and Washington, D.C./Baltimore metro area. Mr. Rains is an accomplished Landscape Architect who has extensive experience in local, state and federal regulatory permitting processes. He balances this with deep ties to his community.

Mr. Rains received a Bachelors degree from Mississippi State University and while there, performed internships with firms in Memphis and Dallas. He served as the student representative to the faculty and President of the campus chapter of ASLA. Following graduation some of Mr. Rains' initial clients included the Landscape Architect of the Capitol in Washington, D. C., Georgetown University, Johns Hopkins Hospital and University and McCormick Spices International. He also served as adjunct professor of landscape design at Montgomery College in Maryland.

Prior to forming his practice, Mr. Rains was Director of the Commercial Division and an Associate at his prior firm, where he was responsible for the design and overall coordination of the firm's multi-family, corporate, institutional and historic projects. His role was often facilitated by his extensive community involvement and experience with the municipal review and entitlement processes.

Mr. Rains' projects have won numerous awards, which include being a two-time recipient of the Connecticut Chapter of the American Society of Landscape Architects (CTASALA), most prestigious award, "Jury's Award of Excellence".

Prior to moving to South Norwall' (SoNo), Mr. Rains lived in Greenwich, Connecticut where he served on ARC (Architectural Review Committee) an advisory committee to the Town's Planning and Zoning Board, Greenwich Green & Clean as Chairman (currently on the advisory board) and also served on The Property Commission of Christ Church. Eric is an active member of American Society of Landscape Architects (ASLA) and is the immediate Past President of the Connecticut Chapter of ASLA. He is **also a member of the University of Connecticut's Alumni/Practitioners Advisory Board** and is licensed in Connecticut, New York and New Jersey.

**CLAIMS CO:MIUTTEE:MEETING**

REFUNDS PROCESSED CLAIMS COMMITTEE  
COMMITTEE

APPROVED BY TAX COLLECTOR

REPORTED TO CLAIMS

<u>PAY TOo</u>	<u>BILL No &amp; AMOUNT TO REFUND</u>		<u>REASON</u>	
ACAR LEASING LTD	16-MV-300496	(\$781.88)	PRORATION	
ACAR LEASING LTD	16-MV-300211	(\$181.76)	PRORATION	
ACAR LEASING LTD	16-MV-300477	(\$393.56)	PRORATION	
ADVANCED LAWN OR'AMENTAL TREE	16-11:V-400316	(\$152.66)	PRORATION	
AKANTARA-MEDRANO, JOSE L	16-MV-301294	(\$45.45)	PRORATION	
BASKAY, ROBERT	16-MV-304574	(\$17.07)	<b>OVERPA T/P&amp;P</b>	
BASTONI, KIMBERLLY A	15-MV-304346	(\$155.96)	PRORATION	
BELLALv!OUR, BE\IJCE	16-MV-305048	(\$41.96)	PRORATION	
BLAKE, NOTASSHA R	16-MV-305873	(\$35.25)	PRORATION	
CABEASTLLC	15-:MV-307956	(118.89)	PRORATIONS	
	15-11V-308214	(\$30.44)	PRORATIONS	
	(\$201.82)	16-MV-308432	(\$52.49)	PRORATIONS
CABEASTLLC	15-MV-308037	(\$511.45)	ABATEMENTS	
	(\$980.74)	16-MV-308212	(\$469.29)	ABATEMENTS
CABEASTLLC	16-:MV-401763	(\$325.03)	ABATEMENT	
CABEASTLLC	16-MV-308685	(\$415.75)	PRORATION	
CABEASTLLC	16-MV-401813	(\$291.14)	PRORATION	
CABEASTLLC	14-MV-401702	(\$476.18)	ABATEMENTS	
	15-MV-308021	(\$543.83)	ABATEMENTS	
	(\$1,508.32)	16-1-fv-308735	(\$488.31)	ABATEMENTS
CAMPUS, LYSANDRA	16-MV-309580	(\$78.93)	PRORATION	
CCAP AUTO LEASE LTD	16-MV-311188	(\$191.50)	PRORATIONS	
	(\$301.26)	16-:MV-311327	(\$109.76)	PRORATIONS

CLAIMS COMMITTEE MEETING

REFill>"DS PROCESSED CLAIMS COMMITTEE COMMITTEE

APPROVED BY TAX COLLECTOR

REPORTED TO CLATMS

<b><u>PAY TO:</u></b>	<b><u>BILLNo &amp; AMOUNT TO REFUND</u></b>	<b><u>REASON</u></b>
CCAP AUTO LEASE LTD	16-MV-311049 (\$279.58)	PRORATION
CHASE AUTO FINA.'ICE CORP	16-11\T-311983 (\$125.84)	PRORATION
CHIAPPORDI, JO!};	16-MV-402601 (\$147.37)	PRORATION
COLON, JOEL E	16-MV-313300 (\$100.26)	PRORATION
DAIMLER TRUST	16-MV-403171 (\$600.10)	PRORATION
DAI:v!LER TRUST	16-:MV-403167 (\$525.66)	PRORATION
DAL\ILER TRUST	16-MV-403161 (\$1,393.21)	PRORATION
DICKENS, JASON D	16-MV-316967 (\$140.95)	PRORATION
EVAIS-THOMAS, RAQUEL	14-MV-319818 (\$669.27)	ABATED-PArD IN ERROR TONORWALK
FINANCIAL SER VEH TRUST	16-MV-322326 (\$497.43)	PRORATION
FINANCIAL SER VEH TRUST	15-MV-321638 (\$943.55)	PRORATION
FINA.'ICIAL SER VEH TRUST	16-:MV-322316 (\$173.37)	PRORATION
FINANCIAL SER VEH TRUST	16-MV-322405 (\$542.40)	PRORATION
FRANK, ANDREW M	16-11V-323719 (\$279.15)	ABATEMENT
GREEN DAY LANDSCAPING LLC	16-MV-405666 (\$15.69)	PRORATION
GAROFALOW, MARY CATHERINE	16-MV-325044 (\$25.15)	PRORATIONS
	(\$41.17) 16-:MV-325043 (\$16.02)	PRORATIONS
HONDA LEASE TRUST	16-11V-406406 (\$29.78)	PRORATION
HONDA LEASE TRUST	16-JvIV-330770 (\$29.84)	PRORA TID:-!
HYUNDAI LEASE TITLING TRUST	16-MV-332227 (\$286.47)	PRORATION
JONES, BRITTON	16-.)1\1-334103 (\$50.46)	PRORATION
JP MORGAN.'! CHASE BANK NA	16-:NIV-334517 (\$93.21)	PRORATIONS
	(\$174.60) 16-:MV-334586 (\$81.39)	PRORATIONS



AGENDA

APRIL 12, 2018

CLAIMS COMMITTEE MEETING

REFUNDS PROCESSED CLAIMS COMMITTEE  
COMMITTEE

APPROVED BY TAX COLLECTOR

REPORTED TO CLAIMS

<u>PAY TO,</u>	<u>BILL No &amp; AMOUNT TO REFUND</u>	<u>REASON</u>
JP MORGAN CHASE BANK NA	16-11V-334716 (\$798.64)	PRORATION
JP MORGAN CHASE BANK NA	16-MV-334462 (\$112.58)	PRORATIONS
	16-MV-334493 (\$275.21)	PRORATIONS
	16-11V-334930 (\$84.56)	PRORATIONS
(\$691.87)	16-11V-334994 (\$219.52)	PRORATIONS
JP MORGAN CHASE BANK NA	15-JY1V-800734 (\$34.62)	ABATEMENT & PRORATION
(\$103.79)	16-MV-373388 (\$69.17)	ABATEMENT & PRORATION
JP MORGAN CHASE BANK NA	16-MV-334574 (\$168.59)	PRORATIONS
(\$240.12)	16-MV-334767 (\$71.53)	PRORATIONS
JP MORGAN CHASE BANK NA	16-11V-334470 (\$281.52)	PRORATION
JP MORGAN CHASE BANK NA	16-MV-SEE BACK UP	PRORATIONS
(\$1,913.97)		
JP MORGAN CHASE BANK NA	16-MV-334614 (\$387.23)	PRORATIONS
	16-11V-334670 (\$168.59)	PRORATIONS
(\$387.23)	16-MV-334741 (\$172.43)	PRORATIONS
JP MORGAN CHASE BANK NA	16-MV-335045 (\$189.77)	PRORATION
MAHMUD, ABDULLAH A	16-MV-340988 (\$34.04)	PRORATION
MA. RCARELLO, MICHAEL V	16-MV-341586 (\$137.45)	PRORATION
MILLS, DEBORA.H	15-MV-409768 (\$261.81)	ABATEMENT
NEYRA, KEISHA	14-MV-345328 (\$150.46)	OVERPAYMENT
NJSSAN INFJNITILT	15-MV-410081 (\$137.70)	PRORATION.
?-ISSAN Th.TFJNITI LT	16-MV-347987 (\$198.99)	PRORATION
PALIFFIO, ALFRED C JR	16-MV-410675 (\$69.60)	PRORATION
PIRCIO, DORREN ROSE	16-MV-352950 (\$167.54)	PRORATION

CLAIMS COMMITTEE MEETINGREFUNDS PROCESSED CLAIMS COMMITTEE  
COMMITTEE

APPROVED BY TAX COLLECTOR

REPORTED TO CLAIMS

<u>PAY TO.</u>	<u>BILL No &amp; AMOUNT TO REFUND</u>	<u>REASON</u>
PORSCHE LEASING LTD	15-MV-351657 (\$1,234.22)	PRORATION
PORSCHE LEASING LTD	15-MV-351649 (\$941.24)	PRORATION
RAYMOND BROWN ASSOCL-'TES	16- MV-356731 (SJ8.33)	PRORATIO:\
REBBECCHI, SL\10N M	16-1vfV-354785 (\$30333)	PRORATION
SALAZAR-FRANCO, SONIA P	16-.MV-358075 (\$19.40)	PRORATION
SA-'!CHEZ, ELIANA M	16-MV-358319 (\$102,99)	PRORATION
S "JCHEZ, 11ILTON	16-MV-358390 (\$17.60)	PRORATION
SARMA, SUDH!R	16-l>fV-358949 (\$40.58)	PRORATION
TOYOTA LEASE TRUST	16- IV-365795 (\$14832)	PRORATION
TOYOTA LEASE TRUST	16-MV-365608 (\$526.42)	PRORATION
TOYOTA LEASE TRUST	16-:rvfV-366269 (\$638.99)	PRORATION
TYLER, ANDREA 1viARL"	16-:rviV-413889 (\$94.74)	PRORATION
VALLA PAINTING LLC	16-MV-368044 (\$103.93)	PRORATION
VILLEGAS, VERONICA	16-MV-369574 (\$72.45)	PRORATION
VELAZQUEZ-BRITO, OSCAR	16-MV-369022 (\$25.93)	PRORATION
WELFARE, CATHERTh'E	15-MV-415522 (\$49.00)	ABATEMENT
ZULLO, MARK J	16-MV-373260 (\$11731)	PRORATION

CLAIMS COMMITTEE MEETING

REFUNDS PROCESSED CLAIMS COMMITTEE  
COMMITTEE

APPROVED BY TAX COLLECTOR

REPORTED TO CLAIMS

<u>PAY TO:</u>	<u>BILL No &amp; AMOUNT TO REFUND</u>	<u>REASON</u>
CHASE ADD 3 JACKSON DRIVE <b>1-58-12-0</b>	16-RE-102323 (\$4,178.51)	OVERPAYMENT / DUP. PAYMENT
CITIMORTGAGE, INC ADD 16 BYJGTON PLACE UNIT 3 1-34-7-3	16-RE-109831 (\$2,560.42)	OVERPAYMENT / DUP. PAYMENT
CITIMORTGAGE, INC ADD 28 ORCHARD HILL RD 5-17-82-0	16-RE-127940 (\$8,305.55)	OVERPAYMENT / DUP. PAYMENT
CITIMORTGAGE, INC ADD 9 IFWOOD RD 5-46-254-0	16-RE-120649 (\$4,274.85)	OVERPAYMENT / DUP. PAYMENT
CITIMORTGAGE INC <b>ADD 242 ROWAYTON AVE</b> 6-23A-19-0	16-RE-106638 (\$9,739.21)	OVERPAYMENT / DUP. PAYMENT

**CLAIMS COMMITTEE MEETING**

REFUNDS PROCESSED CLAIMS COMMITTEE  
COMMITTEE

APPROVED BY TAX COLLECTOR

REPORTED TO CLAIMS

<u>PAY TO'</u>	<u>BILL No &amp; AMOUNT TO REFUND</u>	<u>REASON</u>
CITIMORTGAGE, INC ADD <sub>o</sub> 16 PARKLN 5-SSC-154-0	16-RE-108640 (\$6,043.88)	OVERPAYMENT / DUP. PAYMENT
CORELOGIC TAX SERVICE ADD <sub>o</sub> 11 LOGAN PLACE 6-12-25-0	16-RE-128572 (\$9,948.58)	OVERPAYMENT / DUP. PAYMENT
CORELOGIC TAX SERVICE ADD <sub>o</sub> 9 POPLAR ST 3-24-57-0	16-RE-122491 (\$3,726.00)	OVERPAYMENT / DUP. PAYMENT
CORELOGIC TAX SERVICE ADD <sub>o</sub> 23 MOREHOUSE LANE 5- 63-157-0	16-RE-122580 (\$5,525.80)	OVERPAYMENT / DUP. PAYMENT

CLAIMS COMMITTEE MEETING

REFUNDS PROCESSED CLAIMS COMMITTEE  
COMMITTEE

APPROVED BY TAX COLLECTOR

REPORTED TO CLAIMS

<i>PAY TO;</i>	<b><u>BILL No &amp; AMOUNT TO REFUND</u></b>	<b><i>REASON</i></b>
CORELOGIC TAX SERVICE ADD, 3 OAKWOOD AVE UNIT C1 5-40-25-C1	16-RE-111841 (\$1,857.12)	OVERPAYMENT /DUP.PAYMENT
CORELOGIC TAX SERVICE ADD, 38 WILSON AVE 6-BB-29-0	16-RE-123193 (\$8,254.90)	OVERPAYMENT /DUP. PAYMENT
CORELOGIC TAX SERVICE ADD, 14 SUNRISE HILL RD UNIT A/4 6-21-64-A/4	16-RE-122043 (\$2,438.68)	OVERPAYMENT /DUP PAYMENT
CORELOGIC TAX COLLECTION SERVICES LLC ADD: 25 RIDGEWOOD RD 6-20F-26-0	16-RE-110031 (\$8,083.09)	OVERPAYMENT /DUP.PAYMENT

CLAIMS COMMITTEE MEETING

REFUNDS PROCESSED CLAIMS COMMITTEE  
COMMITTEE

APPROVED BY TAX COLLECTOR

REPORTED TO CLAIMS

PAY TO'

BILL No & AMOUNT TO BE FUND

**REASON**

CORELOGIC TAX SERVICE  
ADD' 18 DOCK RD  
5-84-125-0

16-RE-112478 (87,271.35)

OVERPAYMENT / DUP. PAYMENT

CORELOGIC TAX SERVICE  
ADD, 6 SILVER RIVER CT  
5-43-166-0

16-RE-10430 (\$6,104.98)

OVERPAYMENT / DUP. PAYMENT

CORELOGIC TAX SERVICE  
ADD, 5 ALBRECHT CT  
3-24-50-0

16-RE-125603 (\$2,613.84)

OVERPAYMENT / DUP. PAYMENT

CORELOGIC TAX SERVICE  
ADD, 34 APPLE TREE LANE  
5-46-274-0

16-RE-102204 (\$4,849.27)

OVERPAYMENT / DUP. PAYMENT

CLAIMS COMMITTEE MEETING

**REFUNDS PROCESSED CLAIMS COMMITTEE**

APPROVED BY TAX COLLECTOR

REPORTED TO CLAIMS

<u>PAY TO'</u>	<u>BILL No. &amp; Amount TO REFUND</u>	<u>REASON</u>
CORELOGIC TAX SERVICE ADDo 5 LYCETT CT 5-52-287-0	16-RE-I25014 (\$4,500.00)	OVERPAYMENT / DUP.PAYMENT
CORELOGIC TAX SERVICE ADDo 10 MELROSE AVE 3-28-14-0	16-RE-119063 (\$3,588.21)	OVERPAYMENT / DUP.PAYMENT
CORELOGIC TAX SERVICE ADDo 86 HIGHLAND AVE 6-19A-18-0	16-RE-108564 (17,221.36)	OVERPAYMENT / DUP.PAYMENT
CORELOGIC COMMERCIAL TAX SERVICE ADD: 108 NEW CANAAN AVE 5-50-1-0	16-RE-119553 (\$6,069.30)	OVERPAYMENT / DUP. PAYMENT
CORELOGIC COMMERCIAL TAX SERVICE ADDo 142 EAST AVE 1-51-58-0	15-RE-116725 (\$2,274.71)	PAID IN ERROR / OVERPAYMENT
	(\$5,001.21) 16-RE-300001 (\$2,726.50)	PAID IN ERROR / OVERPAYMENT

CLAIMS COMMITTEE MEETING

REFUNDS PROCESSED CLAIMS COMMITTEE  
COMMITTEE

APPROVED BY TAX COLLECTOR

REPORTED TO CLAIMS

PAY TO:

BILL No & AMOUNT TO REFUND

REASON

CORELOGTC TAX SERVICE  
ADDo 1 ROOSEVELT ST  
5 11 7 0

16 RE I17660 (\$6,144.82)

OVERPAYMENT / DUP. PAYMENT

EDGEComb, KAREK  
ADD: 188 ROWAYTON AVE  
6-22-20-0

16 RE I08095 (\$7,001.29)

OVERPAYMENT

EVERSLEY PROPERTIES LLC  
ADDo SEVERAL SEE BACK UP

16 RE-(\$2,690.10)

I AND E PENALTY REMOVED

GREE:1 RIVER CAPITAL  
ADDo 17 RED BARD LANE  
5 45 187 0

16 RE I00940 (\$151.27)

OVERPAYMENT

KEY BANK  
ADDo 19 SHAMROCK LANE  
5 58 225 0

16-RE-109877 (\$3,803.22)

OVERPAYMENT / DUP.PAYMENT



CLAIMS COMMITTEE MEETING

REFUNDS PROCESSED CLAIMS COMMITTEE  
COMMITTEE

APPROVED BY TAX COLLECTOR

REPORTED TO CLAIMS

<u>PAY TO'</u>	<u>BILL No. &amp; AMOUNT TO REFUND</u>	<u>REASON</u>
KEY BANK ADD: 26 ROME ST 5-6-162-0	16-RE-119954 (\$3,017.06)	OVERPAYMENT/DUPPAYMENT
PETER V. LATHOURIS, LLC ADD• 149 WATER ST 12C 2-83-8-12C	16-RE-107793 (\$300.00)	OVERPAYMENT
ADD• 149 WATERST 9C 2-83-8-9C (\$600.00)	16-RE-107796 (\$300.00)	OVERPAYMENT
PNCBANK ADD• 5 WILSON AVE 6-21-13-0	16-RE-121907 (\$9,482.27)	OVERPAYMENT/DUP PAYMENT
PNCBANK ADD• 15 PEQUOT DRIVE 3-22-51-0	16-RE-117687 (\$7,873.79)	OVERPAYMENT /DUP PAYMENT

CLAIMS COMMITTEE MEETING

REFUNDS PROCESSED CLAIMS COMMITTEE  
COMMITTEE

APPROVED BY TAX COLLECTOR

REPORTED TO CLAIMS

*PAYTO.*

**BILL No & AMOUNT TO REFUND**

*REASON*

PNCBANK  
ADDo 89 COMSTOCK HILL AVE  
5-46-78-0

16-RE-120035 (57,214.42)

OVERPAYMENT / DUP PAYMENT

PNCBANK  
ADDo 469 FLAX Hill RD  
5-72 54-0

16-RE-127281 (\$6,430.06)

OVERPAYMENT / DUP. PAYMENT

PNCBAN"X  
ADDo 6 STEEPLETOP RD  
6-23A-40-0

16-RE-122157 (\$6,185.26)

OVERPAYMENT / DUP.PAYMENT

PNCBAK  
ADDo 12 REDCOAT RD  
5-56-424-0

16-RE-108822 (\$4,445.14)

OVERPAYMENT IDUPPAYMENT

CLAIMS COMMITTEE MEETING

REFUNDS PROCESSED CLAIMS COMMITTEE  
COMMITTEE

APPROVED BY TAX COLLECTOR

REPORTED TO CLAIMS

<u>PAY TO'</u>	<u>BILL No. &amp; AMOUNT TO REFUND</u>	<u>REASON</u>
<b>P CB.AIK</b> ADD: 92 LEDGEBROOK DRIVE 5-64-200-13Ail	16-RE-125813 (\$2,241.99)	OVERPAYMENT / DUP. PAYMENT
WELLS FARGO REAL EST TAX SERV ADD: 116 CHESNUTH LLD 5-31-7-0	16-RE-112032 (\$4,400.84)	OVERPAYMENT / DUP. PAYMENT
US BANK HOME MTG ADD: 44 HARBOR VIEW AVE 5-SSC-13-0	16-RE-113944 (\$8,268.89)	OVERPAYMENT / DUP. PAYMENT
US BANK HOME MORTGAGE ADD: 49 AHO AVE 1-99-12-0	16-RE-111450 (\$2,954.76)	OVERPAYMENT / DUP. PAYMENT
WRIGHT TEAM LLC ADD: 11 ROME ST 5-6-51-0	16-RE-105294 (\$3,068.69)	OVERPAYMENT / DUP. PAYMENT

**SPECIAL REQUEST**

CITIFINANCIAL INC  
ADDRESS 121NDIANA; SPRING RD  
6-20C-14-0

16-RE-102481 (\$12,334.50)

OVERPAYMENT / DUP. PAYMENT

CORELOGIC TAX SERVICE  
ADDRESS 1 BRAYBOURNE SRJVE  
3-248-15-0

16-RE-109712 (\$10,348.05)

OVERPAYMENT / DUP. PAYMENT

CORELOGIC TAX SERVICE  
ADDRESS 27 HILLTOP ROAD  
5-84A-136-0

16-RE-122023 (\$17,910.00)

OVERPAYMENT / DUP. PAYMENT

KEY BANK  
ADDRESS 7 CHRISTOPHER LANE  
5-18-156-0

16-RE-127383 (\$12,086.22)

OVERPAYMENT / DUP. PAYMENT

PNC BANK  
ADDRESS 28 YORK SHOREFRONT PARK  
2 85-2-0

16-RE-118791 (\$12,856.20)

OVERPAYMENT / DUP. PAYMENT

CLAIMS COMMITTEE MEETING

REFUNDS PROCESSED CLAIMS COMMITTEE  
COMMITTEE

APPROVED BY TAX COLLECTOR

REPORTED TO CLAIMS

PAY TO'

BILL No & AMOUNT TO REFUND

REASON

PENNY MAC LOAN SERVICES  
ADD, 15 CREST ROAD  
6-20E-1-0

	14-RE-104189	(\$8,100.97)
	15-RE-104233	(\$8,139.75)
(\$24,511.59)	16-RE-104253	(\$8,270.87)

OVERPAY\1ENTIDUPPAY1\1ENT  
OVERPAY1\1ENT IDUP. PAYMENT  
GVERP ATh1ENT / DUP. PATh:fENT

PEOPLES' UWTEB BANK, NA  
ADD: 13 Y, I:\DIA.N SPRING RD  
6-20D-29-0

16-RE-124294 (\$10,699.90)

OVERPATH1ENT / DL"TP. PAYMENT

JP MORGAN CHASE BANK NA

BILL#	PLATE#	VIN#	AMOUNT
16-334379	2AXBS9	JF2GPAPC6F8288490	\$ 239.79
16-334399	6AWPN9	JF1VA2U60F9831540	\$ 342.48
16-334520	7AHDU4	JF2GPAGC4E8259651	\$ 279.11
16-334615	7AMWJ4	JM1GJ1V55F1195506	\$ 64.23
16-334733	4AHDU6	JF2GPAVC5E8249231	\$ 196.14
16-334825	7APNN2	4S48SADC2F3240506	\$ 419.15
16-334859	6AESH4	J F1GV7F63EG002446	\$ 162.43
16-335021	4AGGF2	453BMBA64E3023668	\$ 210.64
TOTAL			\$1,913.97

EVERSIEY PROPERTIES LLC

BILL#	PARCEI	AMOUNT
16-108533	1-53-8-2A	\$ 234.94
16-108534	1-53-8-28	\$ 235.40
16-108535	1-53-8-2C	\$ 213.14
16-108536	1-53-8-20	\$ 235.40
16-108537	1-53-8-2E	\$ 241.28
16-108538	1-53-8-2F	\$ 215.64
16-108539	1-53-8-3A	\$ 165.68
16-108540	1-53-8-38	\$ 225.64
16-108541	1-53-8-3C	\$ 222.26
16-108542	1-53-8-3C	\$ 189.58
16-108543	1-53-8-3E	\$ 243.52
14-108544	1-53-8-3F	\$ 267.62
TOTAL		\$ 2,690.10



CITY OF NORWALK, DEPARTMENT OF FINANCE  
Tax Collector's Office

P: 203-854-7731 / F: 203-854-7770

125 East Avenue Room 105  
Norwalk, Connecticut 06851

[www.norwalkct.org](http://www.norwalkct.org)

To: Mayor Harry Rilling; Board of Estimate and Taxation; Finance & Claims Committee  
From: Lisa Biagiarelli, Tax Collector  
Date: April 10, 2018  
Re: Narrative for March, 2018 Tax Collector's Report

As of the end of March, 2018, nine months or three quarters into the current fiscal year, we collected nearly \$307 million, or 98.52% of our \$311+ million adjusted tax levy. In addition, as of the end of March, 2018, we collected in excess of \$15 million of our sewer use levy, or **98.23%**. We also collected 86.63% of the year's IPP (Industrial Pretreatment Program) fee on behalf of the Water Pollution Control Authority. Compared with the prior fiscal year, we are slightly ahead with regard to current taxes (.23%) and very slightly behind with regard to current sewer use (-0.11) collections. Also, through the month of March, 2018, we showed a net collection of more than \$3.3 million in back taxes, interest, lien fees and other fees. This amount is still significantly less than we collected last fiscal year at this time. Please note In all cases where we are reporting collections, these figures are *net of refunds and credits* that had to be given due to court cases where taxpayers successfully appealed their assessment and were due money back. Our current collection includes a higher than usual number of overpayments, in some cases intentional, that were made in December 2017 and January 2018. As a result, our current collection percentages are overstated, because some of what we show as currently collectible revenue will have to be refunded within the next several months. Many of the refunds due to taxpayers have already been processed, and these collection figures are net of those that have already been returned.

As explained here last month, there is a standard procedure we use for tax refunds. While it may seem cumbersome to taxpayers it is necessary in order to ensure that prior to our giving back a payment that is deemed either erroneous or in excess of the amount due, we identify who made the payment or payments, and who is entitled to receive it back. Not all overpayments are straightforward. There are frequently situations involving multiple parties such as property owners, their escrow agent (or agents), attorneys, and other payors. Some situations involve mortgages being sold and escrow agents changing; sometimes a payment is made toward the wrong property; sometimes properties change ownership, and payments are made in error or in duplicate. In each case we must ensure that the payment we are giving back was in fact made by the person or entity that claims to have made it; and that it is going back for the right reason to the right party. Tax refunds have been a problematic area for some taxing entities because of fraud, and for this reason we require substantial backup documentation from the requestor; we require original signatures, and we save backup material to be examined by our external auditors. We also provide lists of refunds given to the Finance and Claims Committee of the Common Council every month in order to allow the Council to exercise an oversight function relative to this disbursement of public funds, and refunds in excess of \$10,000 must be voted on by the Committee and approved by the Council. This is in accordance with state law and the city charter, and is meant to provide oversight and a level of checks and balances.

We sent delinquent notices, called 'demand' notices, at the end of February to taxpayers whose accounts were unpaid. Taxpayers had until March 15, 2018 to pay outstanding balances due in order to avoid placement of a lien continuing certificate on the land records. Liens are filed to secure payment of past due real estate taxes and / or sewer use charges. We filed our lien continuing certificates on the land records on March 16, 2018.

With regard to delinquent enforcement, in addition to issuing demand notices, we have been working with the Department of Health to check for back taxes prior to health permit renewal, as several categories of health permits renewed in January. Establishments that owe back taxes for more than one year are not allowed to renew their health permit without paying their back taxes,

With regard to the July 2018 tax sale: an initial set of letters was sent in November 2017 to owners of 144 properties, owing a total of just under \$2.5 million, that fell within the criteria we planned to use. This warning letter served as advance notice to taxpayers whose properties were affected. Subsequently, in February 2018, we added 29 more properties that fell within the criteria after missing their January 2018 payment at the conclusion of the second installment tax cycle. In February 2018, we also sent letters to 20 additional properties that were 'borderline,' or close to the criteria, in case we had to adjust it. That brought the total number of properties potentially involved to 193, and raised the dollar amount we sought to collect to about \$3.9 million. In early March, we added another 27 properties, and the total collectible increased to \$4,598,644 due from 220 properties.

As of this writing, we have already collected in excess of \$1.6 million towards this goal. 36 accounts are already paid in full. We will be sending formal demands this week. The formal demand is the 'official' start of the sale process. Those demands will have a pay-by date of the end of April. The sale will be held Monday, July 23, 2018. Title searches have been assigned, and most have already been completed and returned to us. We will begin driving around Norwalk to photograph the properties very soon, before the spring foliage fills out. We expect to mail the notices of sale on the land records, and to put up the posting in the City Hall lobby, in early May. If taxpayers wish to keep their properties off that public list, payment would need to be made by the pay-by date on the demand. We acknowledge the publicity surrounding the tax sale as an important factor for our taxpayers, and have attempted to give them as much lead time as possible in order to avoid the publicity of having their properties included in the tax sale notices that are posted and published.

Maintaining a high current collection rate by efficiently enforcing collection of past due taxes allows our budget making authorities to set lower mill rates, because there can be less of an 'allowance' for anticipated uncollectibles in the coming fiscal year. When taxpayers fail to pay on time, the city is nonetheless committed to spending to bring to fruition all of the programs planned for that fiscal year. Because not everybody will pay on time, mill rates are set slightly higher, to allow for 'uncollected taxes'. However, if we keep the current tax collection rate high, that 'uncollectible' amount can be less. This is tax relief for every single taxpayer, and arguably the best form of tax relief, because it affects everybody.

We have been grateful for the past support of elected and appointed policymakers when questioned about the policy reasons for delinquent enforcement mechanisms, such as the tax sale. We could not maintain the high collection rates that we do without the consistent use of these methods. In the months to come, I will be available to answer questions you may have about the process. We will continue to depend on the support of all stakeholders in order for our efforts to succeed.



TAX COLLECTOR'S REPORT  
MARCH 2018

FISCAL YEAR 2017-2018 (2016 GRAND LIST)	ADJ. TAX COLLECTIONS		COLLECTION%	CORRECTED LEVY*	CHANGE IN LEVY	COLLECTION%
	ORIGINAL LEVY	JUN 17 - MAR 18				
AUTOMOBILE-REGULAR	\$18,742,512.33	\$17,246,855.18	92.02%	\$18,444,665.02	(\$297,847.31)	83.51%
AUTOMOBILE-SUPPLEMENTAL	\$3,260,045.73	\$2,775,333.17	85.13%	\$3,245,784.57	(\$13,161.16)	85.48%
PERSONAL PROPERTY	\$20,774,740.28	\$20,310,985.41	97.77%	\$21,004,357.94	\$229,611.68	90.70%
REAL ESTATE	\$209,517,050.26	\$266,487,885.12	98.88%	\$266,741,041.54	(\$776,608.72)	99.16%
TOTAL TAX	\$312,284,854.60	\$306,821,058.88	98.25%	\$311,436,848.07	(\$85,036.53)	98.52%

SEWER USE	\$15,371,152.00	\$15,225,051.02	99.05%	\$15,499,275.00	\$127,623.00	98.23%
IPP FEE	\$204,250.00	\$185,032.49	91.08%	\$214,750.00	\$10,500.00	86.63%

RSCAL YEAR 2016-2017 (2015 GRAND LIST)	ADJ. TAX COLLECTIONS		COLLECTION%	CORRECTED LEVY*	CHANGE IN LEVY	COLLECTION%
	ORIGINAL LEVY	JUN 16 - MAR 17				
AUTOMOBILE-REGULAR	\$17,749,640.53	\$16,457,908.44	92.72%	\$17,608,130.14	(\$141,510.39)	83.47%
AUTOMOBILE-SUPPLEMENTAL	\$3,315,742.19	\$2,770,962.79	81.57%	\$3,250,185.13	(\$65,557.06)	85.26%
PERSONAL PROPERTY	\$19,959,243.96	\$19,502,318.03	97.71%	\$19,974,635.04	\$15,391.08	97.64%
REAL ESTATE	\$265,387,338.38	\$261,690,409.87	98.61%	\$264,815,509.48	(\$571,826.90)	98.82%
TOTAL TAX	\$306,411,963.06	\$300,421,599.13	98.04%	\$305,648,459.79	(\$783,503.27)	98.29%
SEWER USE	\$15,359,855.00	\$15,081,999.28	98.19%	\$15,337,115.63	(\$22,739.37)	98.34%
IPP FEE	\$212,250.00	\$190,008.77	89.52%	\$212,000.00	(\$250.00)	89.63%

TAX DIFFERENCE 2016 G.L vs. 2015 G.L.	INCREASE (DECREASE)	PERCENTAGE	AMOUNT	PERCENTAGE
	\$5,882,991.54	0.20%	\$5,785,389.28	0.23%

SENIOR DIFFERENCE 2016-G.L vs. 2015-G.L.	INCREASE (DECREASE)	PERCENTAGE	AMOUNT	PERCENTAGE
	\$11,797.00	0.86%	\$162,159.37	-0.11%

JPP DIFFERENCE 2016 G.L vs. 2015 G.L.	INCREASE (DECREASE)	PERCENTAGE	AMOUNT	PERCENTAGE
	(\$3,876.28)	1.56%	\$2,750.00	-3.00%

BACK TAXES COLLECTED	FISCAL YR 2017-2018 (JUN 17 - MAR 18)	FISCAL YR 2016-2017 (JUN 16 - MAR 17)	CUR YR vs. PRIOR YR
PRIOR TAXES	\$1,950,597.79	\$2,262,755.00	(\$312,157.98)
PRIOR SEWER USE FEE	\$5,233.80	\$64,341.88	(\$56,108.08)
PRIOR IPP FEE	\$903.86	\$16,922.40	(\$16,018.54)
TOTAL PRIOR TAX, SEWER & IPP	\$1,956,735.45	\$2,344,020.05	(\$387,284.50)
CURRENT INTEREST	\$565,158.93	\$621,368.78	(\$56,209.85)
PRIOR INTEREST	\$606,714.53	\$689,051.80	(\$82,337.27)
SEWER USE FEE INTEREST	\$59,818.03	\$56,677.50	\$3,140.53
IPP FEE INTEREST	\$4,690.79	\$7,374.56	(\$2,683.77)
TOTAL INTEREST COLLECTED	\$1,236,382.28	\$1,374,472.54	(\$138,090.36)
PRIOR LIEN FEE	\$11,001.06	\$19,500.64	(\$8,499.58)
CURRENT LIEN FEE	\$1826.45	\$1,705.09	\$1,121.36
TOTAL LIEN FEE COLLECTED	\$12,827.51	\$21,505.73	(\$8,678.22)
MISC FEES COLLECTED	\$120,052.64	\$174,047.37	(\$53,994.73)
TOTAL PRIOR TAX, ALL INTEREST & LIEN FEES	\$3,385,997.81	\$3,914,045.79	(\$528,047.91)

\* CORRECTED LEVY (INCLUDING CERTAIN CORRECTIONS)  
... PRIOR & CURRENT FISCAL YEAR INCLUDES TAX SALE AND TITLE SEARCH FEES PROCESSED THRU MUNJS